

## INVESTIGATIONS ON AN EDGE COLORING PROBLEM\*

D. de WERRA \*\*

*Department of Management Sciences, University of Waterloo, Waterloo, Ont., Canada*

Received 18 December 1970\*\*\*

**Abstract.** Given a bipartite graph  $G$ , and a sequence  $H = (h_1, h_2, \dots, h_n)$  of positive integers, necessary conditions have been given by Folkman and Fulkerson [1] for the existence of an edge coloring in which exactly  $h_i$  edges have color  $i$ . These conditions are sufficient if  $H$  has a particular form. In this paper, we determine necessary and sufficient conditions for the set  $C$  of all color feasible sequences (which is a partial order) to contain a unique maximal sequence. If  $C$  has this property, the conditions of Folkman and Fulkerson are necessary and sufficient for a sequence  $H$  to be color-feasible in  $G$ . Finally, an upper bound on the number of maximal sequences in  $C$  is given when  $G$  is bipartite and has maximum degree 3.

### § 1. Introduction

A graph  $G = (X, U)$  consists of a finite nonempty set  $X$  of vertices and a set  $U$  of  $m$  edges. An edge coloring  $E(G; n)$  is a partition of  $U$  into  $n$  subsets  $H_1, \dots, H_n$  such that no two edges in the same  $H_k$  are adjacent. Folkman and Fulkerson [2] have considered the following problem: When is a given finite sequence of positive integers  $h_1, h_2, \dots, h_n$  color-feasible in a given graph  $G$ ? In other words, when does there exist an edge coloring  $E(G; n)$  such that  $H_i$  has cardinality  $h_i$ ,  $i = 1, 2, \dots, n$ ? By using basic results of network flow theory they have obtained necessary and sufficient conditions for a sequence  $h_1, h_2, \dots, h_n$  containing no more than two distinct positive integers to be color-feasible in a bipartite graph.

These conditions are generally not sufficient for a sequence  $H = h_1, h_2, \dots, h_n$  to be color-feasible in a bipartite graph if  $H$  has not the above mentioned property. If the set  $C$  of all color-feasible se-

\* This research was supported by a grant from the National Research Council of Canada.

\*\* Present address: Département de Mathématiques, Ecole Polytechnique Fédérale de Lausanne, Lausanne, Switzerland.

\*\*\* Revised version received 10 February 1971.

quences in  $G$  (which is a partially ordered set, as was observed by Folkman and Fulkerson [1]) contains a unique maximal sequence, then the conditions are sufficient. The purpose of this paper is to determine when  $C$  has a unique maximal sequence. In the last section we will derive an upper bound on the number of maximal color-feasible sequences in a bipartite graph with maximum degree 3.

## § 2. Preliminary results

The *chromatic index*  $n$  of a graph  $G$  is the smallest number of subsets in an edge coloring of  $G$ . A  $k$ -*matching*  $F$  in  $G = (X, U)$  is a subset  $F \subset U$  such that  $G_F = (X, F)$  has chromatic index  $n_F = k$ . It is well known that the chromatic index of a bipartite graph  $G$  is equal to the maximum degree of the vertices of  $G$  [4]. A  $k$ -matching  $F$  is *maximum* if there is no  $k$ -matching in  $G$  with greater cardinality. If  $G$  is a bipartite graph, a  $k$ -matching  $F$  in  $G$  corresponds simply to a subgraph  $G_F = (X, F)$  with maximum degree  $k$ .

For  $k = 1, \dots, n$  let  $f_k$  be the cardinality of a maximum  $k$ -matching in a bipartite graph  $G$  with maximum degree  $n$ . Let the sequence  $h_1^*, h_2^*, \dots, h_n^*$  be defined by

$$(2.1) \quad h_1^* = f_1, \quad h_i^* = f_i - f_{i-1}, \quad i = 2, 3, \dots, n.$$

**Lemma 2.1.** *The sequence  $h_1^*, h_2^*, \dots, h_n^*$  defined in (2.1) is such that  $h_1^* \geq h_2^* \geq \dots \geq h_n^*$ .*

**Proof.** Let  $A$  be the incidence matrix of the edges in  $G$ , i.e.  $a_{ij} = 1$  if edge  $j$  meets vertex  $i$  and  $a_{ij} = 0$  otherwise ( $i = 1, \dots, r; j = 1, \dots, m$ ). Since  $A$  is totally unimodular,  $f_k$  is obtained by determining an optimal solution  $x = (x_1, \dots, x_m)$  of the linear programming problem  $P(k)$

$$\max \left\{ z = \sum_{j=1}^n x_j : Ax \leq c, 0 \leq x_j \leq 1, j = 1, \dots, m \right\},$$

where  $c = (k, \dots, k)$  has  $r$  components.

Let  $x^\ell = (x_1^\ell, \dots, x_m^\ell)$  be an optimal solution of  $P(\ell)$  for any  $0 \leq \ell \leq n$ . Clearly,  $\frac{1}{2}x^{\ell-1} + \frac{1}{2}x^{\ell+1}$  is a feasible solution of  $P(\ell)$ ; hence for  $\ell = 1, \dots, n-1$ ,

$$f_q = \sum_{i=1}^m x_i^q \geq \frac{1}{2} \sum_{i=1}^m x_i^{q-1} + \frac{1}{2} \sum_{i=1}^m x_i^{q+1} = \frac{1}{2} f_{q-1} + \frac{1}{2} f_{q+1},$$

or

$$h_q^* = f_q - f_{q-1} \geq f_{q+1} - f_q = h_{q+1}^*.$$

From now on, we will assume that in every sequence  $h_1, h_2, \dots, h_n$  the subscripts are chosen in such a way that  $h_1 \geq h_2 \geq \dots \geq h_n \geq 0$ .

The following results appear in Folkman and Fulkerson [1]:

**Lemma 2.2.** *Let  $G$  be an arbitrary graph; if  $H = (h_1, h_2, \dots, h_n)$  is color-feasible, then any sequence  $H' = (h'_1, h'_2, \dots, h'_n)$  such that*

$$(2.2) \quad \sum_{i=1}^k h'_i \leq \sum_{i=1}^k h_i, \quad k = 1, \dots, n-1,$$

$$(2.3) \quad \sum_{i=1}^n h'_i = \sum_{i=1}^n h_i$$

*is color-feasible in  $G$ .*

If (2.2) and (2.3) hold for 2 sequences  $H$  and  $H'$ , we will write  $H > H'$ . So the set  $C$  of all color-feasible sequences in a graph  $G$  is a partial order\*. A sequence  $H$  of  $C$  is said to be *maximal* if there is no sequence  $H' \neq H$  in  $C$  with  $H' > H$ .

For a bipartite graph, we have the following result ([1]):

**Lemma 2.3.** *Where  $G$  is a bipartite graph with maximum degree  $n$ , each maximal color-feasible sequence for  $G$  contains exactly  $n$  positive members.*

It is obvious that a necessary condition for a sequence  $H = (h_1, h_2, \dots, h_q)$  to be color-feasible in a bipartite graph  $G$  with maxi-

\* Formally all sequences in  $C$  must have the same length  $q$  ( $q$  may be for instance the number of edges in  $G$ ). However, we will omit the zero components in a sequence  $H$  whenever no confusion is possible.

maximum degree  $n$  is that  $H < H^* = (h_1^*, h_2^*, \dots, h_n^*, 0, \dots, 0)$  where the first  $n$  components of  $H^*$  are defined by (2.1) and the  $\ell - n$  others are zero. These conditions are also sufficient if  $H$  contains no more than two distinct positive integers as was shown by Folkman and Fulkerson [1]:

**Lemma 2.4.** *Let  $H = (h_1, h_2, \dots, h_\ell)$  be a sequence satisfying*

$$(2.4) \quad h_1 = h_2 = \dots = h_k = p, \quad h_{k+1} = h_{k+2} = \dots = h_\ell = q,$$

*then the sequence (2.4) is color-feasible in the bipartite graph  $G$  if and only if*

$$(2.5) \quad (h_1, h_2, \dots, h_\ell) < (h_1^*, h_2^*, \dots, h_n^*, 0, \dots, 0),$$

*where the  $h_i^*$ 's are defined by (2.1).*

Condition (2.5) is generally not sufficient for  $H$  to be color-feasible in  $G$  if  $H$  does not satisfy (2.4). It follows from Lemma 2.2 that these conditions are certainly sufficient if  $H^* = (h_1^*, h_2^*, \dots, h_n^*)$  is color-feasible in  $G$ . But this is equivalent to saying that the set  $C$  of all color-feasible sequences in  $G$  contains a unique maximal sequence. For suppose that  $H = (h_1, \dots, h_n) \neq H^*$  is the unique maximal sequence in  $C$ . So there exists an index  $k$  such that  $h_1 + \dots + h_k < h_1^* + \dots + h_k^* = f_k$ . Let  $F_k$  be a maximum  $k$ -matching in  $G$ ; by partitioning  $F_k$  into 1-matchings  $H'_1, \dots, H'_k$  and  $U - F_k$  into 1-matchings  $H'_{k+1}, \dots, H'_r$  we obtain a color-feasible sequence  $H' = (h'_1, \dots, h'_r) \neq H$  for which  $H' < H$  does not hold. This is a contradiction.

In the next section we will give necessary and sufficient condition for  $C$  to have a unique maximal sequence.

### § 3. A theorem of uniqueness

First we have to introduce some more definitions. Given a bipartite graph  $G$  with maximum degree  $n$ , let  $H^* = (h_1^*, h_2^*, \dots, h_n^*)$  be the unique sequence defined in (2.1). We associate with  $H^*$  a sequence  $S = (S(0), S(1), S(2), \dots, S(t))$  defined as follows:

- (a)  $S(0) = 0$ ;  
 (b) for  $j = 1, 2, 3, \dots$ ,  $S(j)$  is the greatest index  $\ell \leq n$  such that  $h_{S(j-1)+1}^* - h_\ell^* \leq 1$ .

For instance, if  $H^* = (9, 8, 8, 7, 4, 3)$  we will obtain  $S = (0, 3, 4, 6)$ .  $S$  will be called the *skeleton* of  $H^*$  or simply of  $G$ . (We always have  $S(t) = n$ .)

**Lemma 3.1.** *Let  $S = (S(0), S(1), S(2), \dots, S(t))$  be the skeleton of a bipartite graph  $G = (X, U)$ ; let  $G' = (X, U')$  be a subgraph of  $G$  such that for some  $p$ ,  $G'$  contains a maximum  $S(p)$ -matching of  $G$  and a maximum  $S(p+1)$ -matching of  $G$ . Then for  $k = S(p), S(p)+1, \dots, S(p+1)$ ,  $G'$  contains a maximum  $k$ -matching of  $G$ .*

**Proof.** From the definition of the skeleton we have

$$h_{S(p)+1}^* \geq h_{S(p)+2}^* \geq \dots \geq h_{S(p+1)}^* \geq h_{S(p)+1}^* - 1.$$

Let  $f'_k$  be the cardinality of a maximum  $k$ -matching in  $G'$ , and let  $h'_k$  be defined by  $h'_1 = f'_1$ ,  $h'_i = f'_i - f'_{i-1}$ ,  $i = 2, 3, \dots, S(p+1)$ ; let  $a = S(p)$  and  $b = S(p+1)$ . From Lemma 2.1, we have

$$(3.1) \quad h'_{a+1} \geq h'_{a+2} \geq \dots \geq h'_b.$$

By hypothesis,  $f_a = f'_a$  and  $f_b = f'_b$ . This implies

$$(3.2) \quad h'_{a+1} + h'_{a+2} + \dots + h'_b = h_{a+1}^* + h_{a+2}^* + \dots + h_b^*.$$

Since  $G'$  is a subgraph of  $G$ , we have  $f'_k \leq f_k$ , or

$$\sum_{i=1}^k h'_i \leq \sum_{i=1}^k h_i^*, \quad k = 1, \dots, b,$$

and also

$$(3.3) \quad h'_{a+1} + h'_{a+2} + \dots + h'_{a+j} \leq h_{a+1}^* + h_{a+2}^* + \dots + h_{a+j}^*.$$

We have now two cases to consider:

*Case 1.*  $h_{a+1}^* = h_{a+2}^* = \dots = h_b^* = h$ . Suppose that for some  $\ell$  ( $a \leq \ell \leq b$ ) we have  $f'_\ell < f_\ell$ . Let  $\ell$  be the first index for which  $f'_\ell < f_\ell$ . Then we would have  $h'_\ell < h_\ell^* = h$ . But (3.2) implies

$$h'_{\ell+1} + \dots + h'_b > h_{\ell+1}^* + \dots + h_b^* = h + \dots + h.$$

Thus we have  $h'_{\ell+1} > h$ , but this is in contradiction with (3.1); hence  $f'_k = f_k$  for  $k = a, a+1, \dots, b$ .

*Case 2.*  $h_{a+1}^* = h_{a+2}^* = \dots = h_r^* = h$ ;  $h_{r+1}^* = h_{r+2}^* = \dots = h_b^* = h-1$ . We show that we have

$$(3.4) \quad h'_{a+1} + \dots + h'_r = h_{a+1}^* + \dots + h_r^*.$$

Suppose that

$$(3.5) \quad h'_{a+1} + \dots + h'_r < h_{a+1}^* + \dots + h_r^* = h + \dots + h.$$

This implies  $h'_r < h$ . But from (3.2) and (3.5) follows

$$h'_{r+1} + h'_{r+2} + \dots + h'_b > h_{r+1}^* + \dots + h_b^* = (h-1) + \dots + (h-1).$$

Hence  $h'_{r+1} > h-1$ . This contradicts (3.1); so (3.4) holds, and we are now in the situation of case 1. This ends the proof.

**Theorem 3.2.** Let  $S = (S(0), S(1), \dots, S(t))$  be the skeleton of a bipartite graph  $G = (X, U)$ . The set  $C$  of all color-feasible sequences in  $G$  contains a unique maximal sequence if and only if there exists a family  $F = \{F_1, F_2, \dots, F_{t-1}\}$  of maximum  $S(\ell)$ -matchings  $F_\ell$  in  $G$  such that:

$$(3.6) \quad F_1 \subset F_2 \subset \dots \subset F_{t-1}.$$

**Proof.** (A). If  $C$  contains a unique maximal color-feasible sequence, then it is the sequence  $H^* = (h_1^*, h_2^*, \dots, h_n^*)$  defined in (2.1). So there exists a partition of  $U$  into subsets  $H_1^*, H_2^*, \dots, H_n^*$  where  $H_k^*$  has cardinality  $h_k^*$  for  $k = 1, \dots, n$ . For  $\ell = 1, \dots, t-1$  let  $F_\ell$  be defined by

$$(3.7) \quad F_\ell = \bigcup_{j=1}^{S(\ell)} H_j^*.$$

Each  $F_q$  is a maximum  $S(\ell)$ -matching and the family  $F$  thus obtained satisfies (3.6).

(B). We will prove by induction on the number  $t + 1$  of components in the skeleton of  $G$  that the existence of a family  $F$  satisfying (3.6) implies that  $C$  has a unique maximal color-feasible sequence. For  $t + 1 = 2$ , this is true: we have  $S(t) = S(1) = n$  (where  $n$  is the maximum degree in  $G$ ) and so the sequence  $H^* = (h_1^*, h_2^*, \dots, h_n^*)$  satisfies (2.4) and (2.5). It follows from Lemma 2.4 that  $H^*$  is color-feasible.

Thus  $H^*$  is the unique maximal color-feasible sequence in  $C$ . Let us assume now that this result is true for bipartite graphs whose skeletons have at most  $t$  components. Let  $G = (X, U)$  be a bipartite graph,  $S = (S(0), S(1), \dots, S(t))$  its skeleton and  $F$  a family of  $t - 1$  maximal  $S(\ell)$ -matchings  $F_q$  satisfying (3.6). Consider the graph  $G' = (X, F_{t-1})$ . As a consequence of Lemma 3.1 and of (3.6), the cardinality of a maximum  $k$ -matching in  $G'$  is the same as in  $G$  for  $k = 1, \dots, S(t - 1)$ . Hence  $S' = (S(0), S(1), \dots, S(t - 1))$  is the skeleton of  $G'$  and for the family  $F' = \{F_1, F_2, \dots, F_{t-1}\}$ , (3.6) holds. So by our induction hypothesis, the set  $C'$  of all color-feasible sequences in  $G'$  has a unique maximal sequence  $H' = (h_1^*, h_2^*, \dots, h_{S(t-1)}^*)$ .

We have to show now that there exists a family  $F$  satisfying (3.6) and such that  $U - F_{t-1}$  is a  $(n - S(t - 1))$ -matching. Assume that no such family exists; so for every  $F$ ,  $U - F_{t-1}$  is a  $r$ -matching with  $r > n - S(t - 1)$ . Hence every color-feasible sequence  $H = (h_1, h_2, \dots, h_n)$  with  $h_i = h_i^*$ ,  $i = 1, \dots, S(t - 1)$  has more than  $n$  positive members. On the other hand there is no color-feasible sequence  $\bar{H}$  with exactly  $n$  positive members which satisfies  $\bar{H} > H$  (because this would imply the existence of a family  $F$  such that  $U - F_{t-1}$  is a  $(n - S(t - 1))$ -matching). Hence there exists in  $C$  at least one maximal color-feasible sequence with more than  $n$  positive members. This contradicts Lemma 2.3. So there is a family  $F$  such that in  $G'' = (X, U - F_{t-1})$  the maximum degree is  $n - S(t - 1)$ . Hence there exists a color-feasible sequence in  $G''$  with  $n - S(t - 1)$  positive members. It follows from Lemma 2.2 that  $h_{S(t-1)+1}^*, \dots, h_n^*$  which satisfies  $h_{S(t-1)+1}^* - h_n^* \leq 1$  is color-feasible in  $G''$  (it is the minimal color-feasible sequence in  $G''$  among all sequences with exactly  $n - S(t - 1)$  positive members). Thus  $h_1^*, h_2^*, \dots, h_n^*$  is color-feasible in  $G$ .

**Corollary 3.3.** *If the skeleton  $S$  of a bipartite graph  $G$  has at most 3 components, the set of all color-feasible sequences in  $G$  contains a unique maximal sequence.*

**Proof.** If  $S$  has 2 components, we are in the case of Lemma 2.4. If  $S$  has 3 components, (3.6) is trivially satisfied ( $F_1 \subset F_1$ ).

This result may also be expressed in the following way: Let  $H = (h_1, h_2, \dots, h_m)$  be a sequence satisfying

$$(3.8) \quad \begin{aligned} h_1 = h_2 = \dots = h_j = p, & \quad h_{j+1} = h_{j+2} = \dots = h_k = p-1, \\ h_{k+1} = h_{k+2} = \dots = h_\ell = q, & \quad h_{\ell+1} = h_{\ell+2} = \dots = h_m = q-1, \end{aligned}$$

then condition (2.5) is necessary and sufficient for  $h_1, h_2, \dots, h_m$  to be color-feasible in a bipartite graph  $G$ .

We conclude this section with some remarks on Theorem 3.2.

**Remark 1.** It is interesting to observe that in the family  $F$  each  $F_\ell$  is simply a maximal  $S(\ell)$ -matching; it may not be an *admissible*  $S(\ell)$ -matching, i.e. an  $S(\ell)$ -matching such that  $U - F_\ell$  is an  $(n - S(\ell))$ -matching and hence may be colored with  $(n - S(\ell))$  colors. (Notice that the  $F_\ell$  defined in (3.7) is of course admissible.)

**Remark 2.** If  $G$  is not bipartite, Lemma 2.3 may not be true; as a consequence it may happen that there is no maximum  $k$ -matching which is admissible. (If  $G$  has chromatic index  $n$ , a  $k$ -matching  $F$  is admissible if  $G' = (X, U - F)$  has chromatic index  $n - k$ .) Consider (for instance the graph  $G_1$  in fig. 1 for which the unique maximum 1-matching  $F_1$  has.

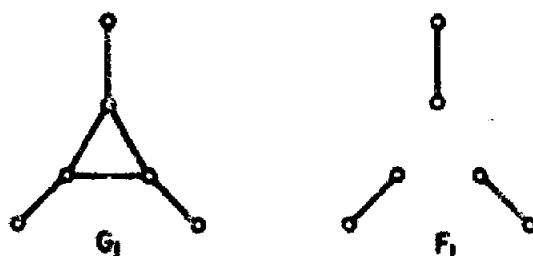


Fig. 1.



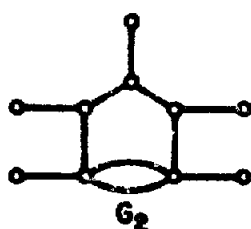


Fig. 2.

cardinality 3, while every admissible 1-matching has cardinality 2. (The maximal sequences in  $C$  are  $(3, 1, 1, 1)$  and  $(2, 2, 2)$ .)

Suppose we define  $f_k$  as the largest possible cardinality for an admissible  $k$ -matching and  $h_k^*$  as in (2.1). The case where  $h_k^* < h_{k+1}^*$  for some  $k$  may occur. As an example, consider graph  $G_2$  in fig. 2; we have  $(h_1^*, h_2^*, h_3^*, h_4^*) = (5, 2, 3, 1)$ . The maximal color-feasible sequences in  $G$  are  $(5, 2, 2, 2)$  and  $(4, 3, 3, 1)$ . This means that it is not always possible to define a skeleton  $S$  as for bipartite graphs. However, even if  $h_1^* \geq h_2^* \geq \dots \geq h_n^*$  holds and if there exists a maximum  $k$ -matching which is admissible for  $k = 1, \dots, n$ . Theorem 3.2 may not be true for non bipartite graphs. Examine the graph  $G_3$  in fig. 3.  $F_1 = H_1$  is a maximum and admissible 1-matching;  $H'_1 \cup H'_2$  is a maximum and admissible 2-matching, while  $F_2 = H'_1 \cup H'_2 \cup H'_3$  is a maximum and admissible

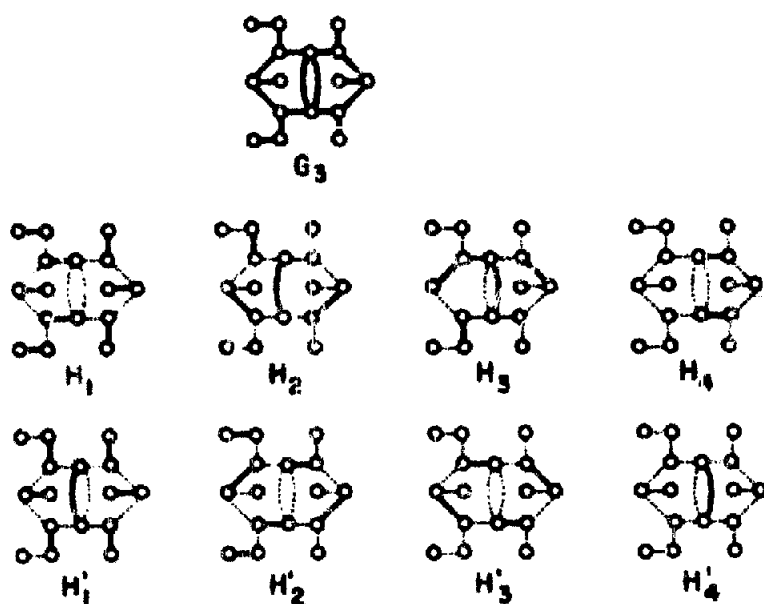


Fig. 3.

3-matching. We have  $(h_1^*, h_2^*, h_3^*, h_4^*) = (8, 5, 4, 1)$  and the skeleton  $S$  is  $(0, 1, 3, 4)$ ; condition (3.6) becomes  $F_2 \supset F_1$  and one verifies that it is satisfied. However,  $(8, 5, 4, 1)$  is not color-feasible in  $G_3$ . The maximal sequences are  $(8, 4, 4, 2)$  and  $(7, 6, 4, 1)$  and they correspond to the edge colorings represented in fig. 3.

#### § 4. On the number of maximal color-feasible sequences in $C$

In the previous section we have obtained conditions for the set  $C$  of all color-feasible sequences in a bipartite graph  $G$  to contain a unique maximal sequence.

Our attempts to determine an upper bound for the number of maximal color-feasible sequences in a bipartite graph with maximum degree  $n$  and with  $m$  edges have not been successful. However this has been done in the case  $n = 3$ . (Note that if  $n = 2$ ,  $C$  always has a unique maximal sequence from Lemma 2.4).

**Lemma 4.1.** *In a connected graph  $G$  with  $m$  edges, the cardinality  $h_1^*$  of a maximum 1-matching satisfies  $h_1^* \leq \frac{1}{2}(m + 1)$ .*

**Proof.** Given a maximum 1-matching  $H$  in  $G$  with cardinality  $h_1^*$ ,  $G$  may be connected only if there are at least  $h_1^* - 1$  edges of  $G$  which are not in  $H$ . Hence  $m \geq 2h_1^* - 1$ .

**Lemma 4.2.** *Let  $G$  be a bipartite graph with maximum degree 3; let  $H^* = (h_1^*, h_2^*, h_3^*)$  be the sequence defined in (2.1). If  $\min(h_1^* - h_2^*, h_2^* - h_3^*) = \ell$ , then  $C$  contains at most  $\lfloor \frac{1}{2}\ell \rfloor + 1$  maximal color-feasible sequences.*

**Proof.** (1). Suppose  $h_1^* - h_2^* = \ell$ . Then the sequence  $\bar{H} = (\bar{h}_1, \bar{h}_2, \bar{h}_3)$  where

$$(4.1) \quad \bar{h}_1 = h_1^* - \lfloor \frac{1}{2}\ell \rfloor, \bar{h}_2 = h_2^* + \lfloor \frac{1}{2}\ell \rfloor, \bar{h}_3 = h_3^*$$

satisfies (2.5) and (3.8). Hence  $\bar{H}$  is color-feasible in  $G$ . Furthermore,  $\bar{h}_1 \geq \bar{h}_2 \geq \bar{h}_1 - 1$ .

(2). The number  $M$  of maximal sequences in  $C$  is not greater than the maximum number of mutually unrelated sequences in  $C$ . ( $H'$  and  $H''$  are unrelated in  $C$  if neither  $H' > H''$  nor  $H'' > H'$  holds.)

(3). Let  $C(\bar{H})$  be the set of all color-feasible sequences  $H$  in  $C$  for which  $H < \bar{H}$ . Since  $\bar{H}$  is color-feasible, no sequence in  $C(\bar{H})$  is a maximal sequence. Hence  $M$  is not greater than the maximum number of unrelated sequences in  $C - C(\bar{H})$ .

(4). Every sequence  $H = (h_1, h_2, h_3)$  in  $C - C(\bar{H})$  satisfies  $h_1 \geq h_1^* - \lfloor \frac{1}{2} \ell \rfloor$ : If  $h_1 < h_1^* - \lfloor \frac{1}{2} \ell \rfloor$ , then we must have  $h_2 \leq h_2^* + \lfloor \frac{1}{2} \ell \rfloor$  (otherwise from (1) we would have  $h_1 < h_2$  which is not possible). But such a sequence  $H$  would satisfy  $H < \bar{H}$  and there is no such sequence in  $C - C(\bar{H})$ .

(5). For every sequence  $H$  in  $C - C(\bar{H})$ ,  $h_1$  can take at most  $\lfloor \frac{1}{2} \ell \rfloor + 1$  different values. Furthermore, if for two sequences  $H = (h_1, h_2, h_3)$  and  $H' = (h'_1, h'_2, h'_3)$  we have  $h_1 = h'_1$ ,  $H$  and  $H'$  are not unrelated. Hence there are at most  $\lfloor \frac{1}{2} \ell \rfloor + 1$  unrelated sequences in  $C - C(\bar{H})$ . The case  $h_2^* - h_3^* = \ell$  can be dealt with similarly.

**Theorem 4.3.** *Given any sequence  $H^* = (h_1^*, h_2^*, h_3^*)$  of positive integers ( $h_1^* \geq h_2^* \geq h_3^*$ ), satisfying  $h_2^* + h_3^* \geq h_1^* - 1$ ; let  $\ell = \min(h_1^* - h_2^*, h_2^* - h_3^*)$ . Then there exists a bipartite connected graph  $G$  for which*

- (a)  $H^*$  is the sequence defined in (2.1),
- (b) *the set of all color-feasible sequences in  $G$  contains  $\lfloor \frac{1}{2} \ell \rfloor + 1$  maximal sequences.*

**Proof.** Let us define  $p = h_2^* + h_3^* - h_1^* + 1$ ,  $q = h_1^* - h_2^* - 2\lfloor \frac{1}{2} \ell \rfloor$ ,  $r = h_2^* - h_3^* - 2\lfloor \frac{1}{2} \ell \rfloor$ . By assumption,  $p \geq 0$  (if  $p < 0$ , it follows from Lemma 4.1 that the sequence  $H^*$  cannot correspond to a connected graph); and by the definition of  $\ell$  we also have  $q, r \geq 0$ . The graph  $G$  is obtained by combining  $\lfloor \frac{1}{2} \ell \rfloor$  graphs  $I_1, I_2, \dots, I_{\lfloor \frac{1}{2} \ell \rfloor}$ ,  $\lfloor \frac{1}{2} \ell \rfloor - 1$  graphs  $J_1, J_2, \dots, J_{\lfloor \frac{1}{2} \ell \rfloor - 1}$ ,  $p$  graphs  $K_1, K_2, \dots, K_p$ ,  $q$  graphs  $L_1, L_2, \dots, L_q$  and  $r$  graphs  $M_1, M_2, \dots, M_r$ , as shown in fig. 4.

One verifies easily that the maximal cardinality of a 1-matching  $F_1$  is  $h_1^*$ :  $F_1$  contains 5 edges of each  $I_i$ , 1 edge of each  $J_i$ , 1 edge of each  $K_i$ , 2 edges of each  $L_i$ , and 1 edge of each  $M_i$ . So  $F_1$  has cardinality  $5\lfloor \frac{1}{2} \ell \rfloor + (\lfloor \frac{1}{2} \ell \rfloor - 1) + p + 2q + r = h_1^*$ . Similarly one verifies that the maximal cardinality of a 2-matching is  $h_1^* + h_2^*$  and that  $G$  has  $m = h_1^* + h_2^* + h_3^*$  edges.

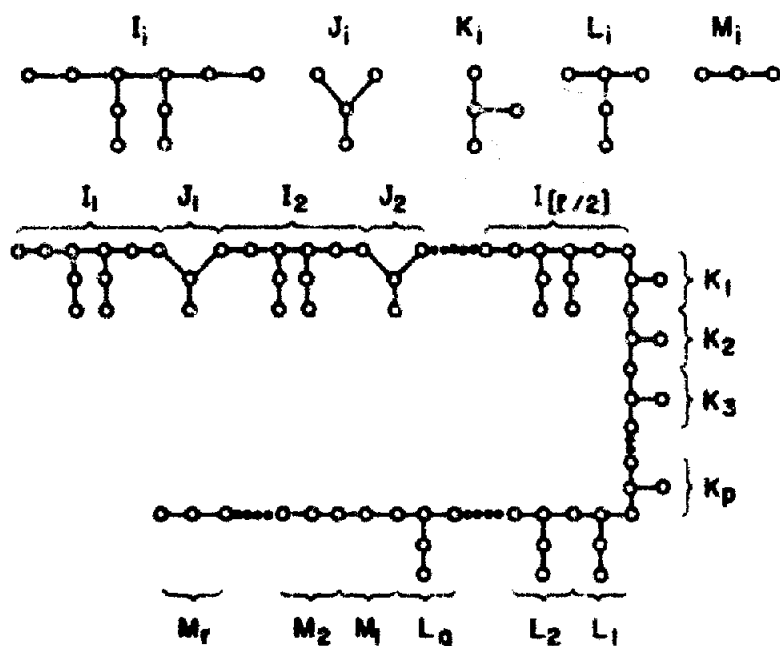


Fig. 4.

Furthermore, let  $F_1$  be any maximal (and admissible) 1-matching in  $G$  and let  $F_2$  be a 2-matching containing  $F_1$  and having cardinality as large as possible.  $F_2$  has cardinality  $h_1^* + h_2^* - \lfloor \frac{1}{2} \ell \rfloor$ . There are  $\lfloor \frac{1}{2} \ell \rfloor$  disjoint alternating chains  $P_i$  (one in each  $I_i$ ) which may be used to increase the cardinality of  $F_2$ . By using any  $P_i$ , the cardinality of  $F_1$  is decreased by one unit. Hence,  $C$  has  $\lfloor \frac{1}{2} \ell \rfloor + 1$  maximal sequences

$$\begin{aligned}
 & (h_1^*, \quad h_2^* - \lfloor \frac{1}{2} \ell \rfloor, \quad h_3^* + \lfloor \frac{1}{2} \ell \rfloor), \\
 & (h_1^* - 1, \quad h_2^* - \lfloor \frac{1}{2} \ell \rfloor + 2, \quad h_3^* + \lfloor \frac{1}{2} \ell \rfloor - 1), \\
 & (h_1^* - i, \quad h_2^* - \lfloor \frac{1}{2} \ell \rfloor + 2i, \quad h_3^* + \lfloor \frac{1}{2} \ell \rfloor - i), \\
 & (h_1^* - \lfloor \frac{1}{2} \ell \rfloor, \quad h_2^* + \lfloor \frac{1}{2} \ell \rfloor, \quad h_3^*).
 \end{aligned}$$

**Theorem 4.4.** Let  $m$  be the number of edges in a connected bipartite graph with maximum degree 3. The set  $C$  of color-feasible sequences in  $G$  contains at most  $\lfloor \frac{1}{12} (m + 15) \rfloor$  maximal sequences.

**Proof.** Let  $H^* = (h_1^*, h_2^*, h_3^*)$  be the sequence (2.1). The upper bound of Lemma 4.2 on the number of maximal sequences is maximum when

is maximum, i.e.  $|(h_1^* - h_2^*) - (h_2^* - h_3^*)| \leq 1$ . This implies  $h_2^* = \lfloor \frac{1}{3}m \rfloor$ . From Lemma 4.1 we have  $h_1^* \leq \frac{1}{2}(m+1)$ . So the maximum number of maximal sequences in  $C$  is

$$\frac{1}{2} \lfloor h_1^* - h_2^* \rfloor + 1 \leq \frac{1}{2} (\lfloor \frac{1}{2}(m+1) \rfloor - \lfloor \frac{1}{3}m \rfloor) + 1 \leq \lfloor \frac{1}{12}(m+15) \rfloor.$$

### Acknowledgements

The author wishes to thank Professor J. Edmonds, Professor C. Berge and the referee for their valuable comments on earlier versions of this paper.

### References

- [1] A.L. Dulmage and N.S. Mendelsohn, Some graphical properties of matrices with nonnegative entries, *Aequationes Math.* 2 (1969) 150-162.
- [2] J. Folkman and D.R. Fulkerson, Edge colorings in bipartite graphs, in: *Combinatorial mathematics and its applications* (University of North Carolina Press, Chapel Hill, 1969).
- [3] D.R. Fulkerson, The maximum number of disjoint permutations contained in a matrix of zeros and ones, *Canad. J. Math.* 16 (1964) 729-735.
- [4] D. König, *Theorie der endlichen und unendlichen Graphen* (Leipzig, 1936).